



# Sets

## Epp, chapter 5



# What is a set?

- A set is a group of “objects”
  - People in a class: { Alice, Bob, Chris }
  - Classes offered by a department: { CS 101, CS 202, ... }
  - Colors of a rainbow: { red, orange, yellow, green, blue, purple }
  - States of matter { solid, liquid, gas, plasma }
  - States in the US: { Alabama, Alaska, Virginia, ... }
  - Sets can contain non-related elements: { 3, a, red, Virginia }
- Although a set can contain (almost) anything, we will most often use sets of numbers
  - All positive numbers less than or equal to 5: {1, 2, 3, 4, 5}
  - A few selected real numbers: { 2.1,  $\pi$ , 0, -6.32, e }



# Set properties 1

- Order does not matter
  - We often write them in order because it is easier for humans to understand it that way
  - $\{1, 2, 3, 4, 5\}$  is equivalent to  $\{3, 5, 2, 4, 1\}$
- Sets are notated with curly brackets



# Set properties 2

- Sets do not have duplicate elements
  - Consider the set of vowels in the alphabet.
    - It makes no sense to list them as  $\{a, a, a, e, i, o, o, o, o, o, u\}$
    - What we really want is just  $\{a, e, i, o, u\}$
  - Consider the list of students in this class
    - Again, it does not make sense to list somebody twice
- Note that a list is like a set, but order does matter and duplicate elements are allowed
  - We won't be studying lists much in this class



# Specifying a set 1

- Sets are usually represented by a capital letter (A, B, S, etc.)
- Elements are usually represented by an italic lower-case letter (*a*, *x*, *y*, etc.)
- Easiest way to specify a set is to list all the elements:  $A = \{1, 2, 3, 4, 5\}$ 
  - Not always feasible for large or infinite sets



# Specifying a set 2

- Can use an ellipsis (...):  $B = \{0, 1, 2, 3, \dots\}$ 
  - Can cause confusion. Consider the set  $C = \{3, 5, 7, \dots\}$ . What comes next?
  - If the set is all odd integers greater than 2, it is 9
  - If the set is all prime numbers greater than 2, it is 11
- Can use set-builder notation
  - $D = \{x \mid x \text{ is prime and } x > 2\}$
  - $E = \{x \mid x \text{ is odd and } x > 2\}$
  - The vertical bar means “such that”
  - Thus, set D is read (in English) as: “all elements  $x$  such that  $x$  is prime and  $x$  is greater than 2”



# Specifying a set 3

- A set is said to “contain” the various “members” or “elements” that make up the set
  - If an element  $a$  is a member of (or an element of) a set  $S$ , we use then notation  $a \in S$ 
    - $4 \in \{1, 2, 3, 4\}$
  - If an element is not a member of (or an element of) a set  $S$ , we use the notation  $a \notin S$ 
    - $7 \notin \{1, 2, 3, 4\}$
    - Virginia  $\notin \{1, 2, 3, 4\}$



# Often used sets

- $\mathbf{N} = \{0, 1, 2, 3, \dots\}$  is the set of natural numbers
- $\mathbf{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$  is the set of integers
- $\mathbf{Z}^+ = \{1, 2, 3, \dots\}$  is the set of positive integers (a.k.a whole numbers)
  - Note that people disagree on the exact definitions of whole numbers and natural numbers
- $\mathbf{Q} = \{p/q \mid p \in \mathbf{Z}, q \in \mathbf{Z}, q \neq 0\}$  is the set of rational numbers
  - Any number that can be expressed as a fraction of two integers (where the bottom one is not zero)
- $\mathbf{R}$  is the set of real numbers





# The universal set 1

- $U$  is the universal set – the set of all of elements (or the “universe”) from which given any set is drawn
  - For the set  $\{-2, 0.4, 2\}$ ,  $U$  would be the real numbers
  - For the set  $\{0, 1, 2\}$ ,  $U$  could be the natural numbers (zero and up), the integers, the rational numbers, or the real numbers, depending on the context



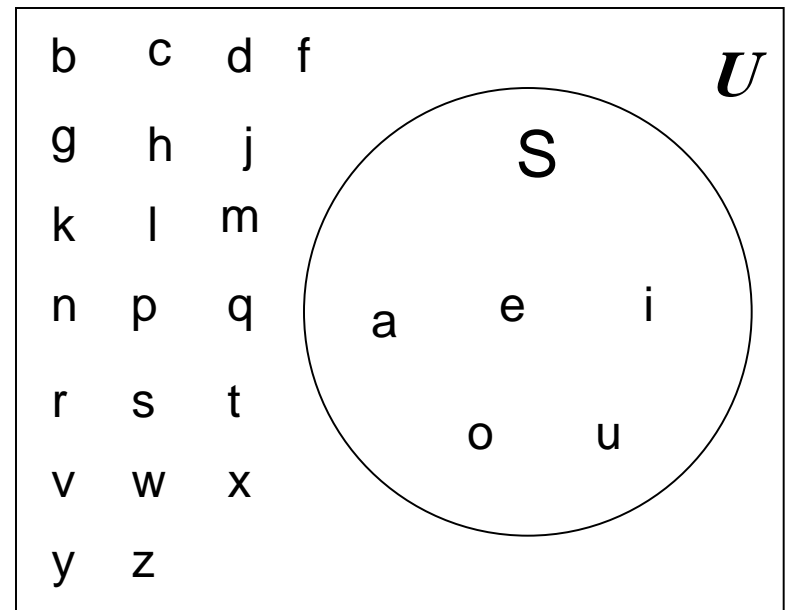
# The universal set 2

- For the set of the students in this class,  $\mathbf{U}$  would be all the students in the University (or perhaps all the people in the world)
- For the set of the vowels of the alphabet,  $\mathbf{U}$  would be all the letters of the alphabet
- To differentiate  $\mathbf{U}$  from  $U$  (which is a set operation), the universal set is written in a different font (and in bold and italics)



# Venn diagrams

- Represents sets graphically
  - The box represents the universal set
  - Circles represent the set(s)
- Consider set  $S$ , which is the set of all vowels in the alphabet
- The individual elements are usually not written in a Venn diagram





# Sets of sets

- Sets can contain other sets
  - $S = \{ \{1\}, \{2\}, \{3\} \}$
  - $T = \{ \{1\}, \{\{2\}\}, \{\{\{3\}\}\} \}$
  - $V = \{ \{\{1\}, \{\{2\}\}\}, \{\{\{3\}\}\}, \{ \{1\}, \{\{2\}\}, \{\{\{3\}\}\} \} \}$ 
    - $V$  has only 3 elements!
- Note that  $1 \neq \{1\} \neq \{\{1\}\} \neq \{\{\{1\}\}\}$ 
  - They are all different



# The empty set 1

- If a set has zero elements, it is called the empty (or null) set
  - Written using the symbol  $\emptyset$
  - Thus,  $\emptyset = \{ \}$  **← VERY IMPORTANT**
  - If you get confused about the empty set in a problem, try replacing  $\emptyset$  by  $\{ \}$
- As the empty set is a set, it can be a element of other sets
  - $\{ \emptyset, 1, 2, 3, x \}$  is a valid set



# The empty set 1

- Note that  $\emptyset \neq \{ \emptyset \}$ 
  - The first is a set of zero elements
  - The second is a set of 1 element (that one element being the empty set)
- Replace  $\emptyset$  by  $\{ \}$ , and you get:  $\{ \} \neq \{ \{ \} \}$ 
  - It's easier to see that they are not equal that way



# Set equality

- Two sets are equal if they have the same elements
  - $\{1, 2, 3, 4, 5\} = \{5, 4, 3, 2, 1\}$ 
    - Remember that order does not matter!
  - $\{1, 2, 3, 2, 4, 3, 2, 1\} = \{4, 3, 2, 1\}$ 
    - Remember that duplicate elements do not matter!
- Two sets are not equal if they do not have the same elements
  - $\{1, 2, 3, 4, 5\} \neq \{1, 2, 3, 4\}$



# Subsets 1

- If all the elements of a set  $S$  are also elements of a set  $T$ , then  $S$  is a subset of  $T$ 
  - For example, if  $S = \{2, 4, 6\}$  and  $T = \{1, 2, 3, 4, 5, 6, 7\}$ , then  $S$  is a subset of  $T$
  - This is specified by  $S \subseteq T$ 
    - Or by  $\{2, 4, 6\} \subseteq \{1, 2, 3, 4, 5, 6, 7\}$
- If  $S$  is not a subset of  $T$ , it is written as such:  
 $S \not\subseteq T$ 
  - For example,  $\{1, 2, 8\} \not\subseteq \{1, 2, 3, 4, 5, 6, 7\}$





# Subsets 2

- Note that any set is a subset of itself!
  - Given set  $S = \{2, 4, 6\}$ , since all the elements of  $S$  are elements of  $S$ ,  $S$  is a subset of itself
  - This is kind of like saying 5 is less than or equal to 5
  - Thus, for any set  $S$ ,  $S \subseteq S$



# Subsets 3

- The empty set is a subset of *all* sets (including itself!)
  - Recall that all sets are subsets of themselves
- *All* sets are subsets of the universal set
- A horrible way to define a subset:
  - $\forall x ( x \in A \rightarrow x \in B )$ 
    - English translation: for all possible values of  $x$ , (meaning for all possible elements of a set), if  $x$  is an element of  $A$ , then  $x$  is an element of  $B$
    - This type of notation will be gone over later



# Proper Subsets 1

- If  $S$  is a subset of  $T$ , and  $S$  is not equal to  $T$ , then  $S$  is a proper subset of  $T$ 
  - Let  $T = \{0, 1, 2, 3, 4, 5\}$
  - If  $S = \{1, 2, 3\}$ ,  $S$  is not equal to  $T$ , and  $S$  is a subset of  $T$
  - A proper subset is written as  $S \subset T$
  - Let  $R = \{0, 1, 2, 3, 4, 5\}$ .  $R$  is equal to  $T$ , and thus is a subset (but not a proper subset) of  $T$ 
    - Can be written as:  $R \subseteq T$  and  $R \not\subset T$  (or just  $R = T$ )
  - Let  $Q = \{4, 5, 6\}$ .  $Q$  is neither a subset of  $T$  nor a proper subset of  $T$



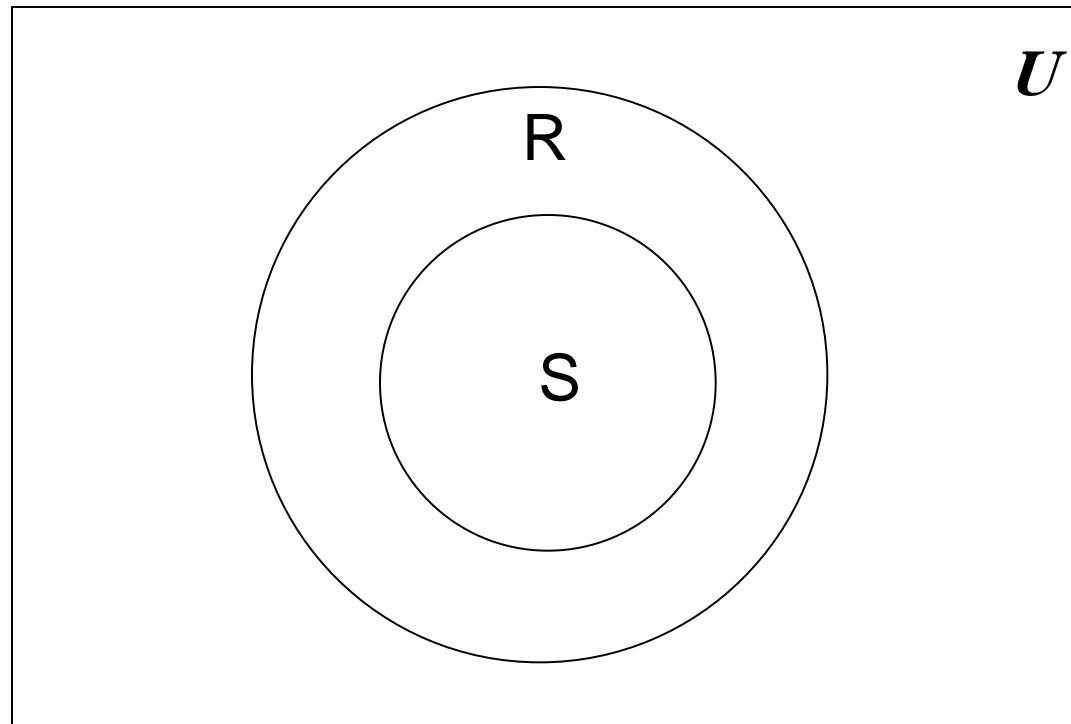
# Proper Subsets 2

- The difference between “subset” and “proper subset” is like the difference between “less than or equal to” and “less than” for numbers
- The empty set is a proper subset of all sets other than the empty set (as it is equal to the empty set)



# Proper subsets: Venn diagram

$$S \subset R$$





# Set cardinality

- The cardinality of a set is the number of elements in a set
  - Written as  $|A|$
- Examples
  - Let  $R = \{1, 2, 3, 4, 5\}$ . Then  $|R| = 5$
  - $|\emptyset| = 0$
  - Let  $S = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$ . Then  $|S| = 4$
- This is the same notation used for vector length in geometry
- A set with one element is sometimes called a singleton set



# Power sets 1

- Given the set  $S = \{0, 1\}$ . What are all the possible subsets of  $S$ ?
  - They are:  $\emptyset$  (as it is a subset of all sets),  $\{0\}$ ,  $\{1\}$ , and  $\{0, 1\}$
  - The power set of  $S$  (written as  $P(S)$ ) is the set of all the subsets of  $S$
  - $P(S) = \{ \emptyset, \{0\}, \{1\}, \{0, 1\} \}$ 
    - Note that  $|S| = 2$  and  $|P(S)| = 4$



# Power sets 2

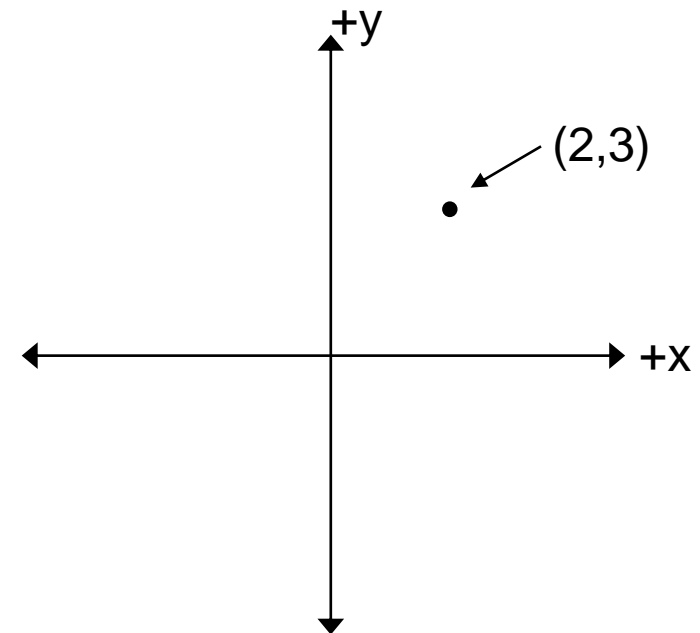
- Let  $T = \{0, 1, 2\}$ . The  $P(T) = \{ \emptyset, \{0\}, \{1\}, \{2\}, \{0,1\}, \{0,2\}, \{1,2\}, \{0,1,2\} \}$ 
  - Note that  $|T| = 3$  and  $|P(T)| = 8$
- $P(\emptyset) = \{ \emptyset \}$ 
  - Note that  $|\emptyset| = 0$  and  $|P(\emptyset)| = 1$
- If a set has  $n$  elements, then the power set will have  $2^n$  elements





# Tuples

- In 2-dimensional space, it is a  $(x, y)$  pair of numbers to specify a location
- In 3-dimensional space,  $(1,2,3)$  is not the same as  $(3,2,1)$  – space, it is a  $(x, y, z)$  triple of numbers
- In  $n$ -dimensional space, it is a  $n$ -tuple of numbers
  - Two-dimensional space uses pairs, or 2-tuples
  - Three-dimensional space uses triples, or 3-tuples
- Note that these tuples are **ordered**, unlike sets
  - the  $x$  value has to come first





# Cartesian products 1

- A Cartesian product is a set of all ordered 2-tuples where each “part” is from a given set
  - Denoted by  $A \times B$ , and uses parenthesis (not curly brackets)
  - For example, 2-D Cartesian coordinates are the set of all ordered pairs  $\mathbf{Z} \times \mathbf{Z}$ 
    - Recall  $\mathbf{Z}$  is the set of all integers
    - This is all the possible coordinates in 2-D space
  - Example: Given  $A = \{ a, b \}$  and  $B = \{ 0, 1 \}$ , what is their Cartesian product?
    - $C = A \times B = \{ (a,0), (a,1), (b,0), (b,1) \}$



# Cartesian products 2

- Note that Cartesian products have only 2 parts in these examples (later examples have more parts)
- Formal definition of a Cartesian product:
  - $A \times B = \{ (a,b) \mid a \in A \text{ and } b \in B \}$



# Cartesian products 3

- All the possible grades in this class will be a Cartesian product of the set  $S$  of all the students in this class and the set  $G$  of all possible grades
  - Let  $S = \{ \text{Alice, Bob, Chris} \}$  and  $G = \{ A, B, C \}$
  - $D = \{ (\text{Alice, A}), (\text{Alice, B}), (\text{Alice, C}), (\text{Bob, A}), (\text{Bob, B}), (\text{Bob, C}), (\text{Chris, A}), (\text{Chris, B}), (\text{Chris, C}) \}$
  - The final grades will be a subset of this:  $\{ (\text{Alice, C}), (\text{Bob, B}), (\text{Chris, A}) \}$ 
    - Such a subset of a Cartesian product is called a relation (more on this later in the course)



# Cartesian products 4

- There can be Cartesian products on more than two sets
- A 3-D coordinate is an element from the Cartesian product of  $\mathbf{Z} \times \mathbf{Z} \times \mathbf{Z}$



# Set Operations

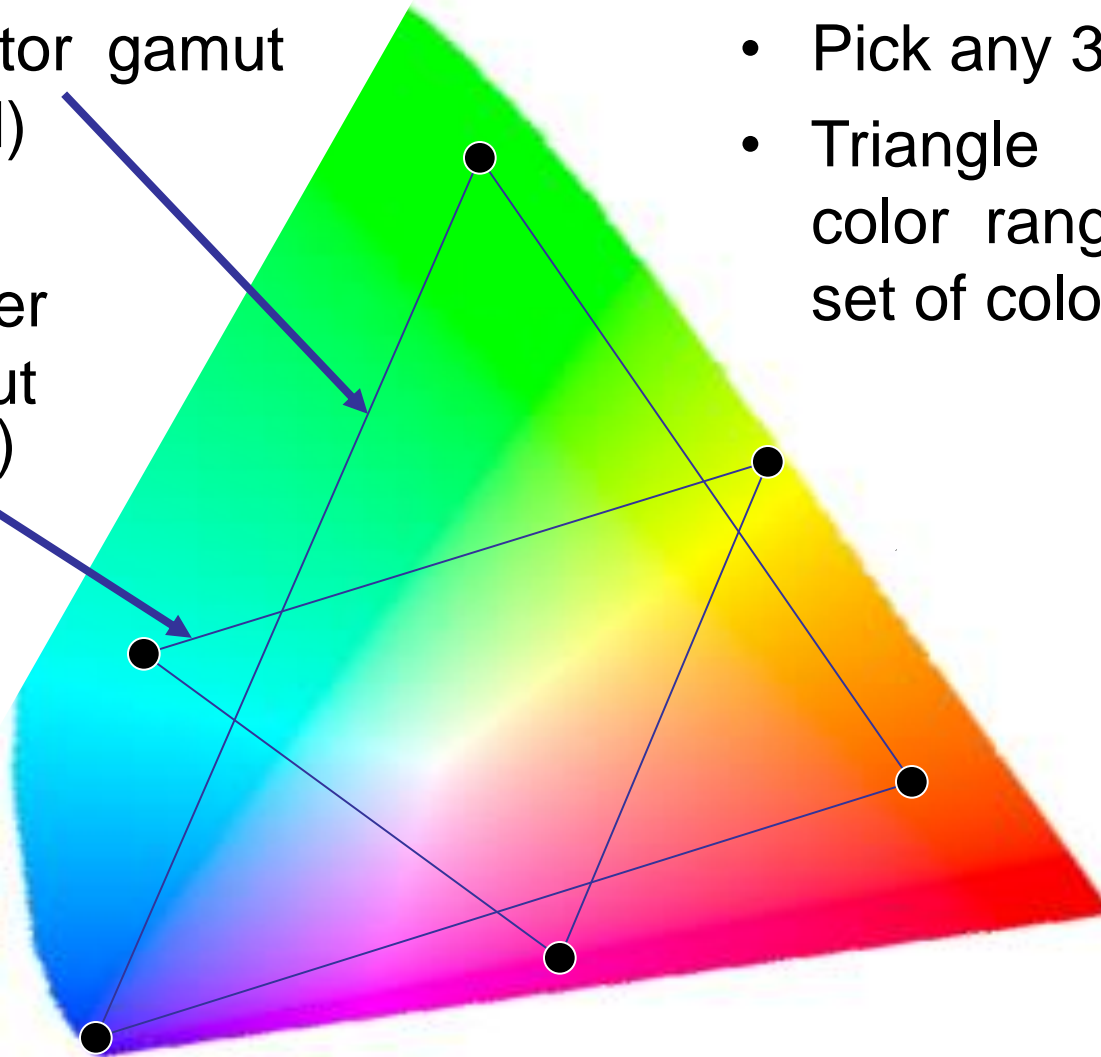
Epp, chapter 3



# Sets of Colors

Monitor gamut  
(M)

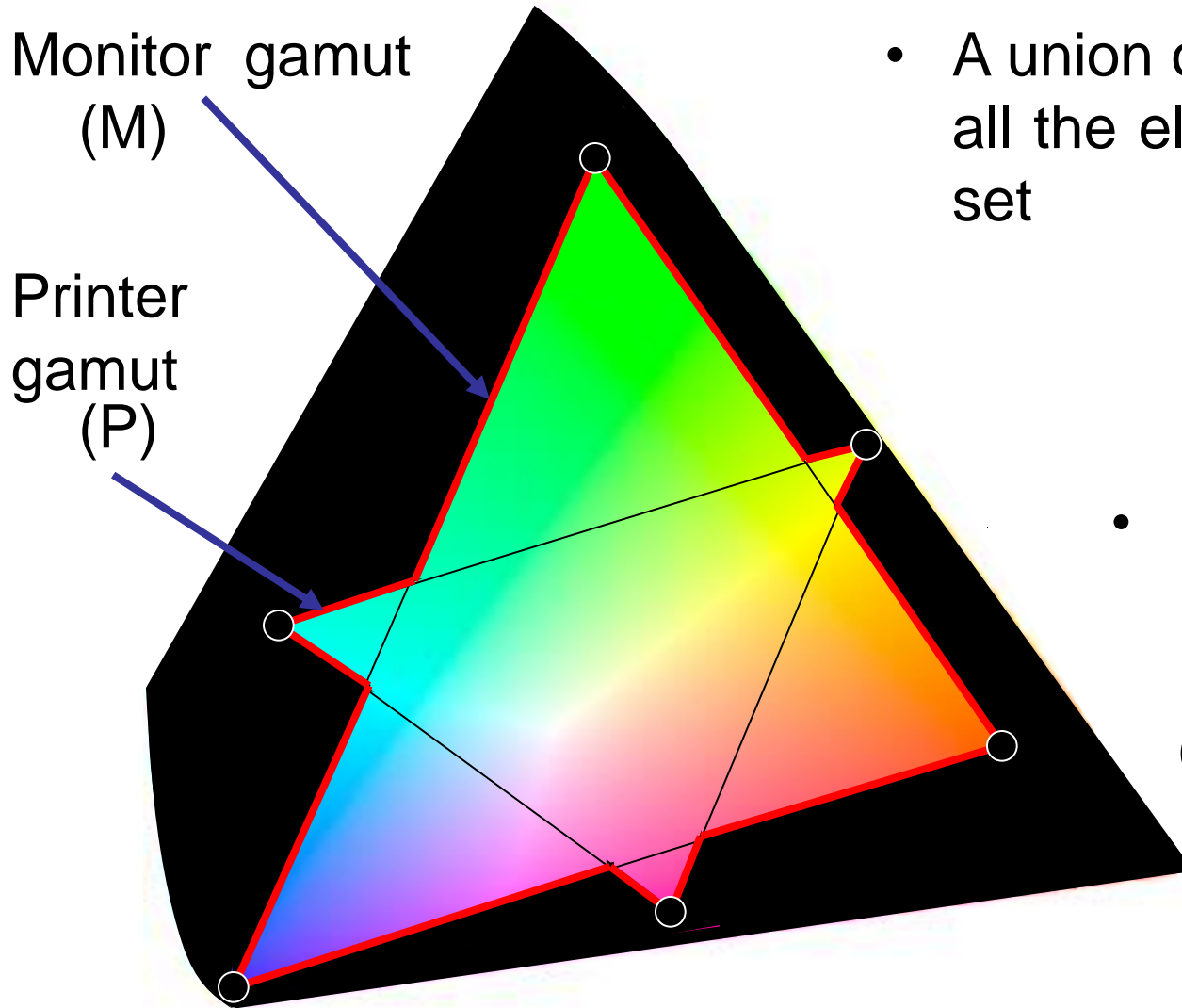
Printer gamut  
(P)



- Pick any 3 “primary” colors
- Triangle shows mixable color range (gamut) – the set of colors



# n 1



- A union of the sets contains all the elements in EITHER set

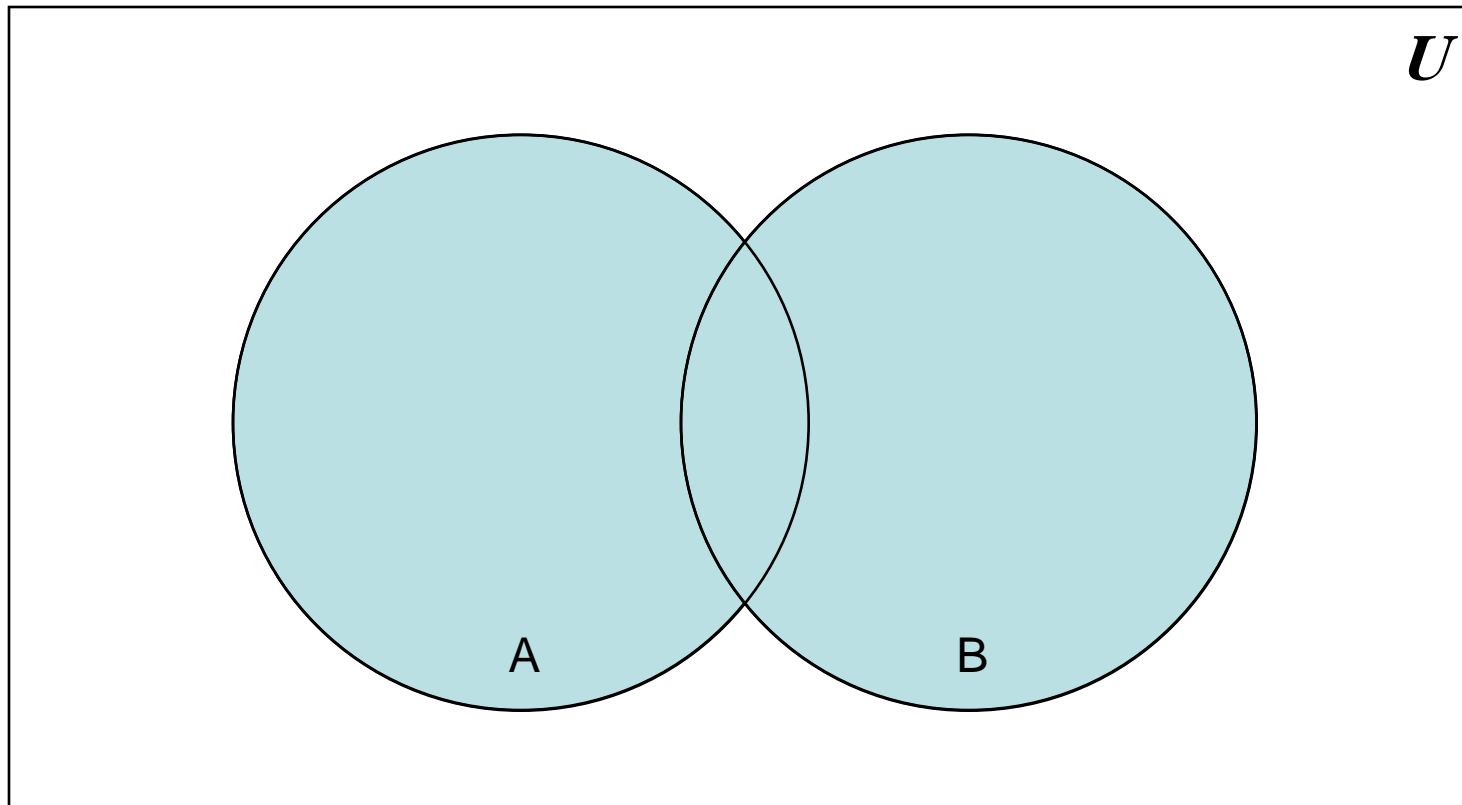
- Union symbol is usually a U
  - Example:  
 $C = M \cup P$





# Set operations: Union 2

$A \cup B$





# Set operations: Union 3

- Formal definition for the union of two sets:  
 $A \cup B = \{ x \mid x \in A \text{ or } x \in B \}$
- Further examples
  - $\{1, 2, 3\} \cup \{3, 4, 5\} = \{1, 2, 3, 4, 5\}$
  - $\{\text{New York, Washington}\} \cup \{3, 4\} = \{\text{New York, Washington, 3, 4}\}$
  - $\{1, 2\} \cup \emptyset = \{1, 2\}$



# Set operations: Union 4

- Properties of the union operation

- $A \cup \emptyset = A$

Identity law

- $A \cup U = U$

Domination law

- $A \cup A = A$

Idempotent law

- $A \cup B = B \cup A$

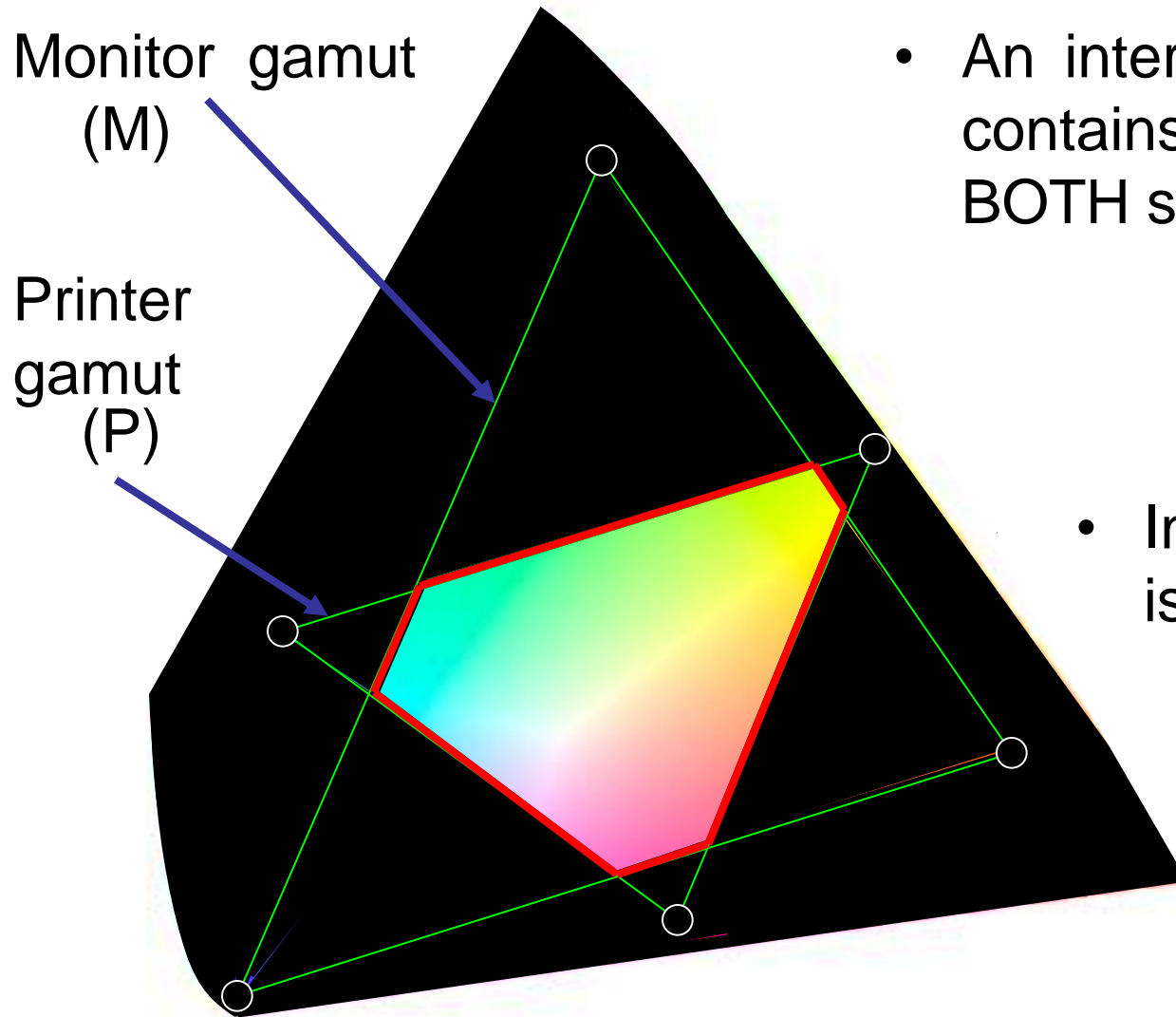
Commutative law

- $A \cup (B \cup C) = (A \cup B) \cup C$

Associative law



# Set operations: Intersection 1



- An intersection of the sets contains all the elements in BOTH sets

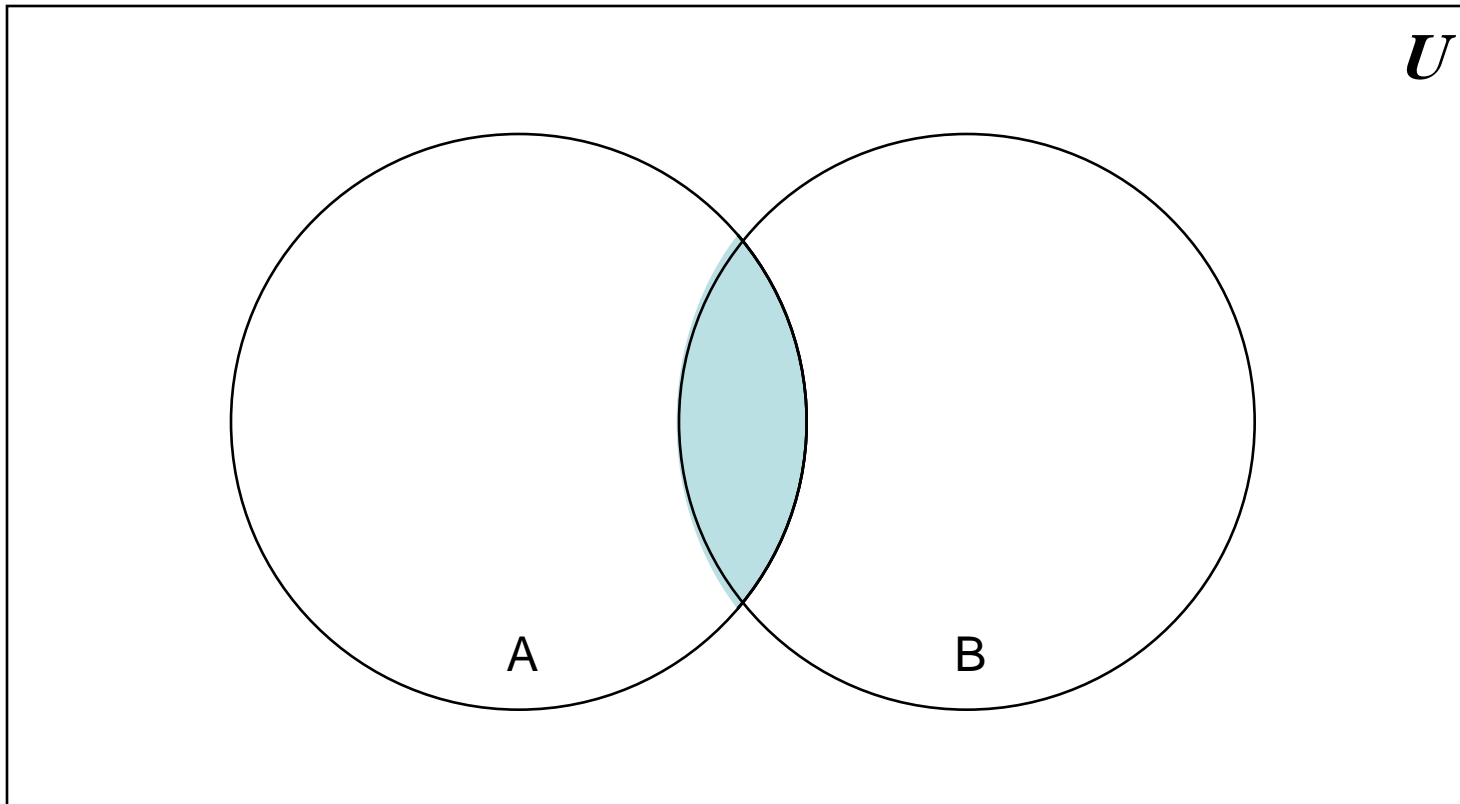
- Intersection symbol is a  $\cap$

- Example:  
 $C = M \cap P$



# Set operations: Intersection 2

$$A \cap B$$





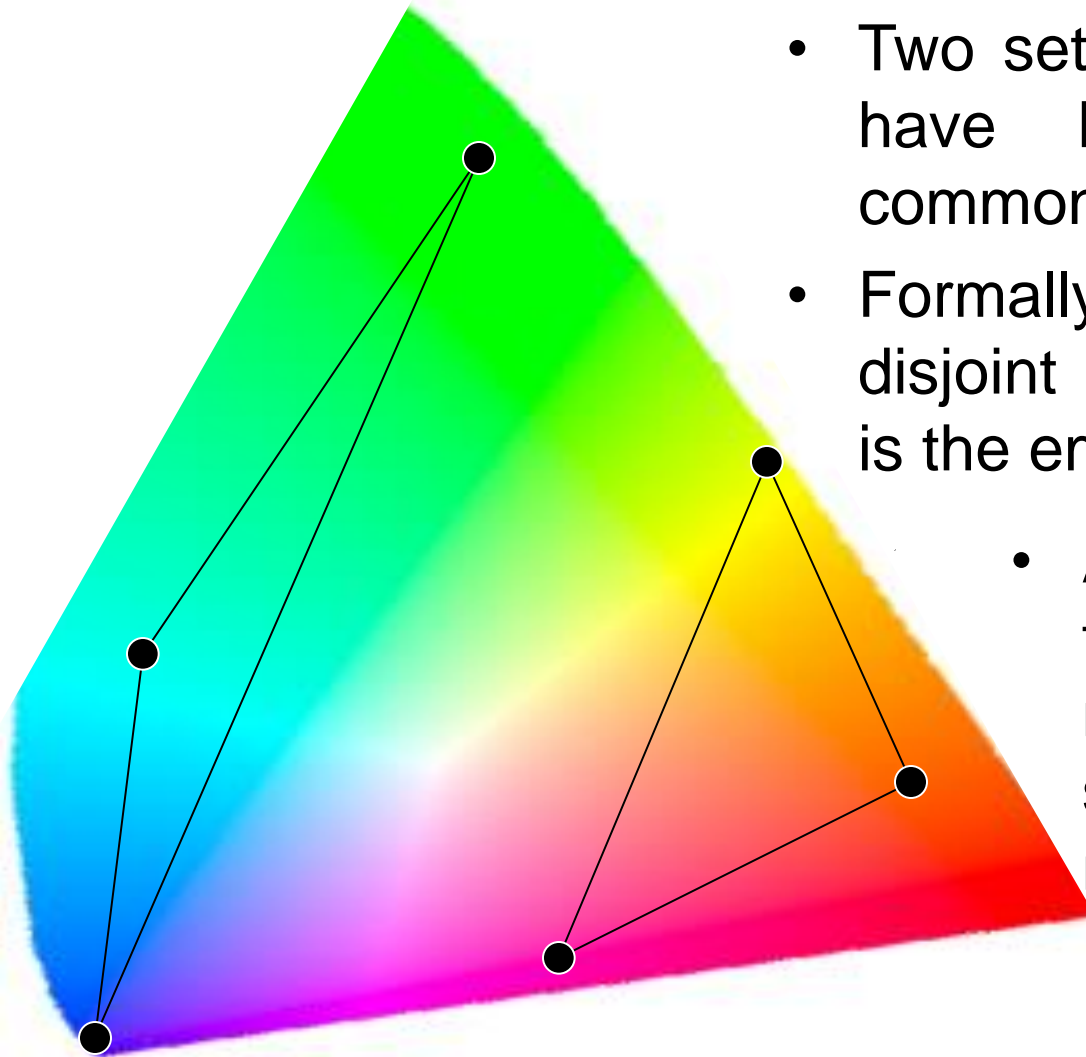
# Set operations: Intersection 3

- Formal definition for the intersection of two sets:  $A \cap B = \{ x \mid x \in A \text{ and } x \in B \}$
- Further examples
  - $\{1, 2, 3\} \cap \{3, 4, 5\} = \{3\}$
  - $\{\text{New York, Washington}\} \cap \{3, 4\} = \emptyset$ 
    - No elements in common
  - $\{1, 2\} \cap \emptyset = \emptyset$ 
    - Any set intersection with the empty set yields the empty set



# Set operations: Intersection 4

- Properties of the intersection operation
  - $A \cap U = A$  Identity law
  - $A \cap \emptyset = \emptyset$  Domination law
  - $A \cap A = A$  Idempotent law
  - $A \cap B = B \cap A$  Commutative law
  - $A \cap (B \cap C) = (A \cap B) \cap C$  Associative law

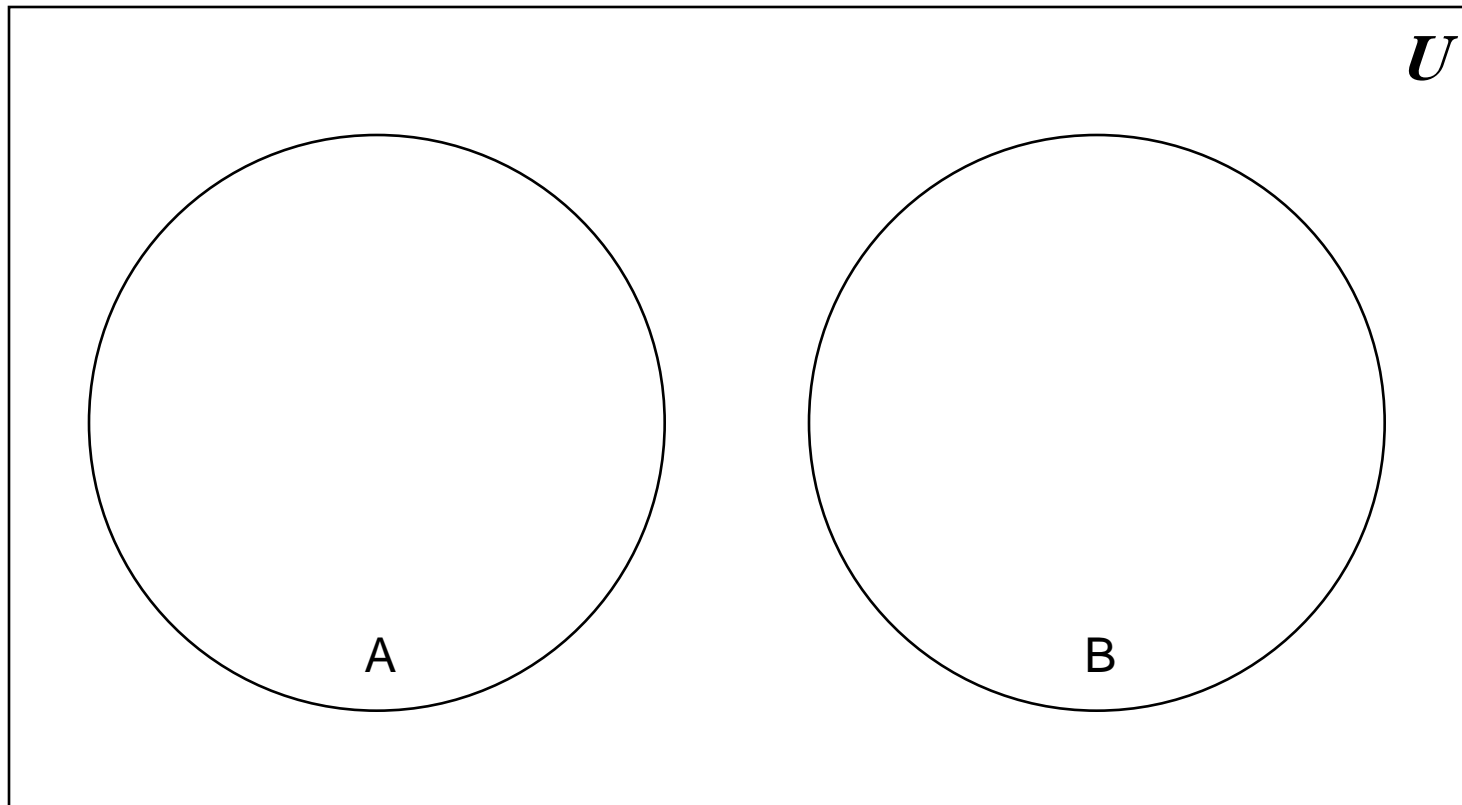


- Two sets are disjoint if they have NO elements in common
- Formally, two sets are disjoint if their intersection is the empty set
- Another example: the set of the even numbers and the set of the odd numbers





# Disjoint sets 2



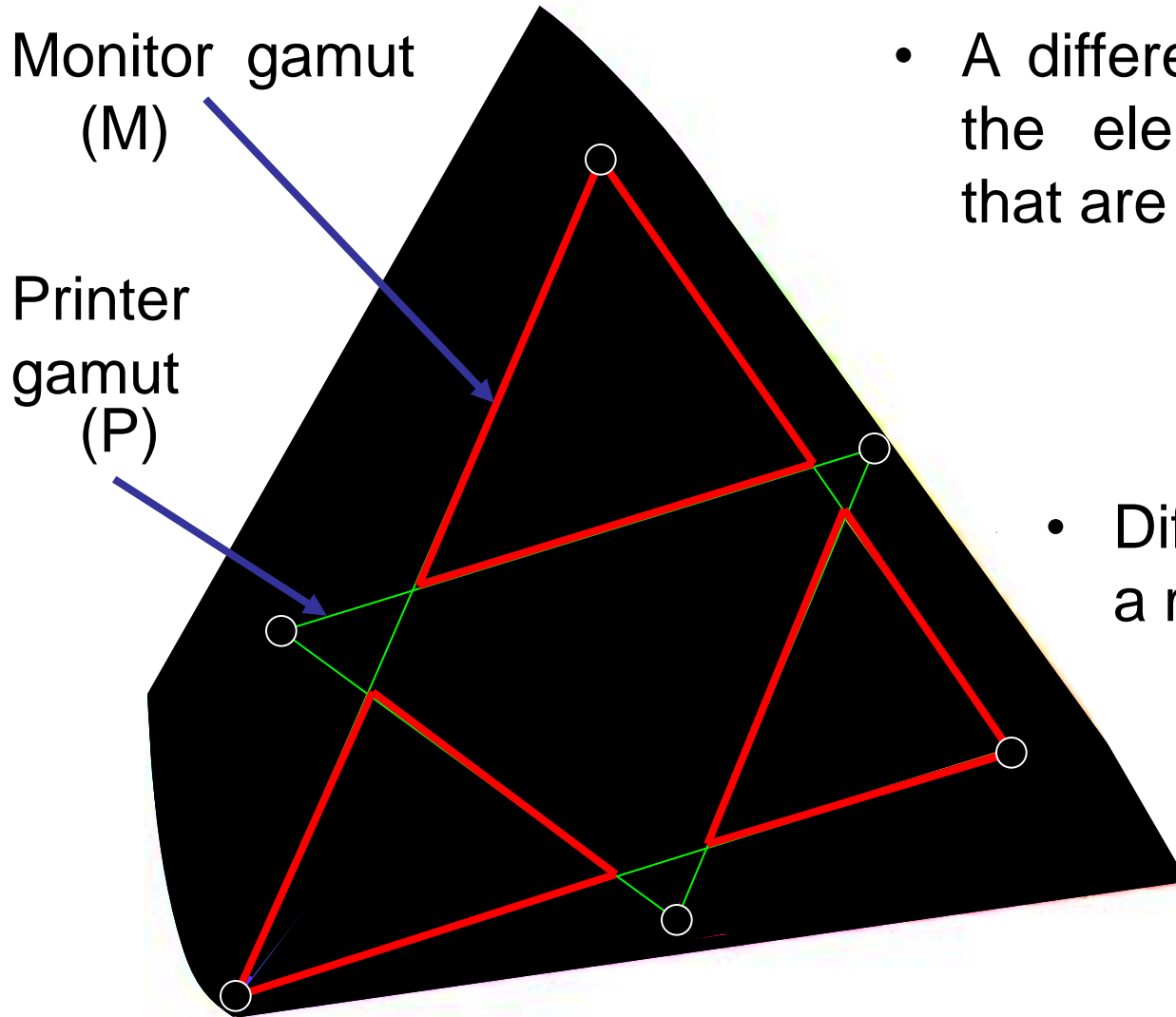


# Disjoint sets 3

- Formal definition for disjoint sets: two sets are disjoint if their intersection is the empty set
- Further examples
  - $\{1, 2, 3\}$  and  $\{3, 4, 5\}$  are not disjoint
  - $\{\text{New York, Washington}\}$  and  $\{3, 4\}$  are disjoint
  - $\{1, 2\}$  and  $\emptyset$  are disjoint
    - Their intersection is the empty set
  - $\emptyset$  and  $\emptyset$  are disjoint!
    - Their intersection is the empty set



# Set operations



- A difference of two sets is the elements in one set that are NOT in the other

- Difference symbol is a minus sign

- Example:

$$C = M - P$$

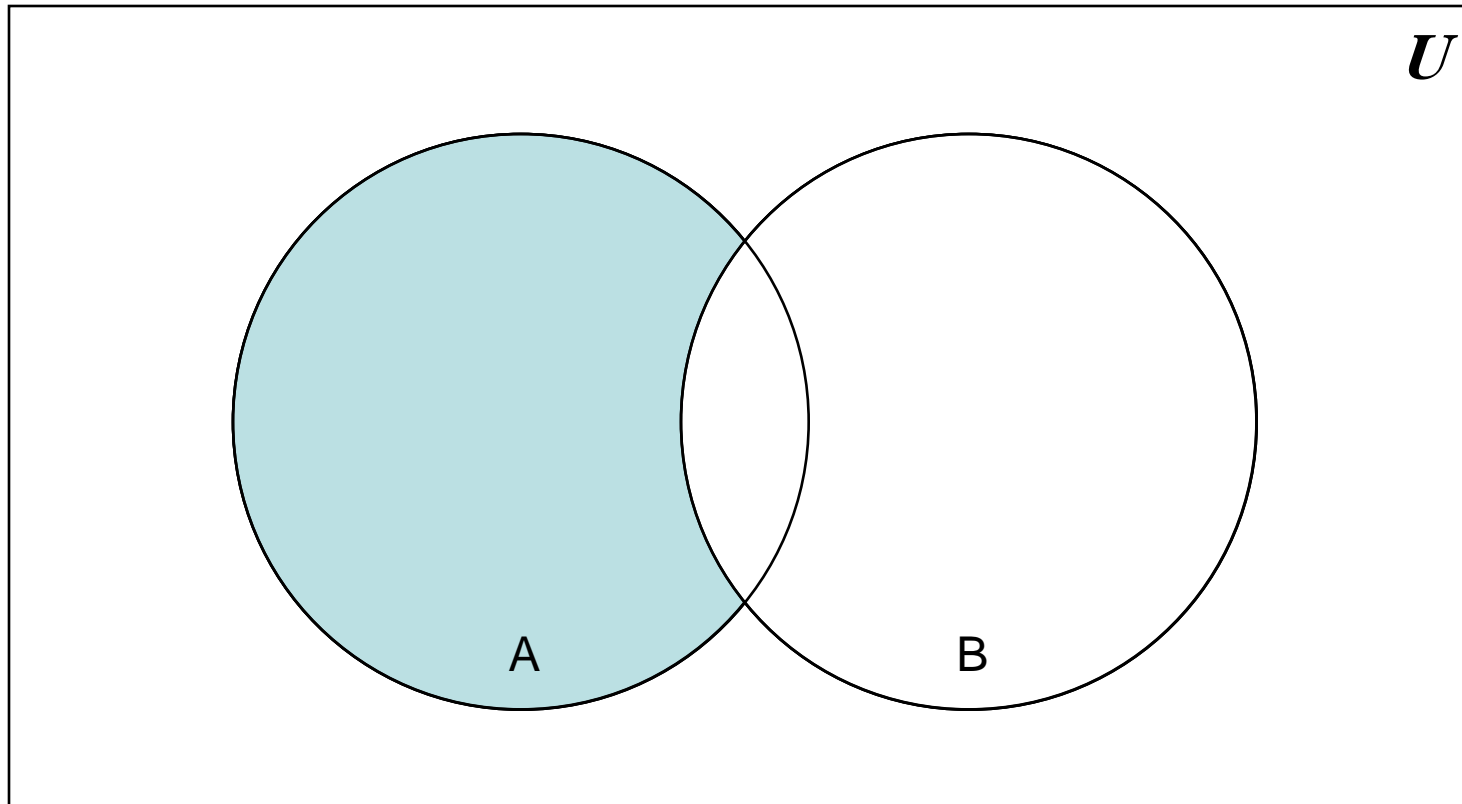
- Also visa-versa:

$$C = P - M$$



# Set operations: Difference 2

$$B - A$$





# Set operations: Difference 3

- Formal definition for the difference of two sets:

$$A - B = \{ x \mid x \in A \text{ and } x \notin B \}$$

$$A - B = A \cap \bar{B} \quad \leftarrow \text{Important!}$$

- Further examples

$$- \{1, 2, 3\} - \{3, 4, 5\} = \{1, 2\}$$

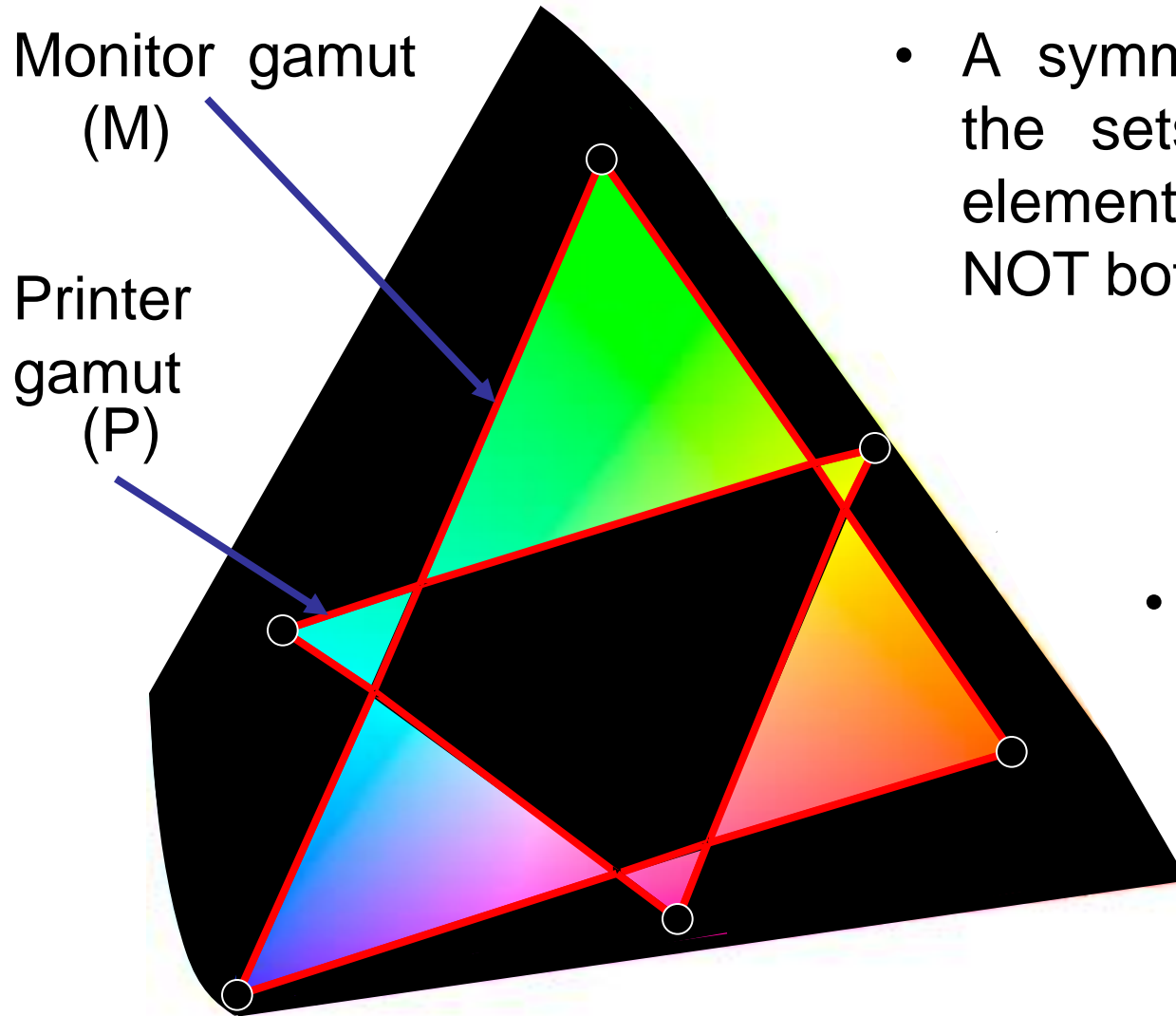
$$- \{\text{New York, Washington}\} - \{3, 4\} = \{\text{New York, Washington}\}$$

$$- \{1, 2\} - \emptyset = \{1, 2\}$$

- The difference of any set  $S$  with the empty set will be the set  $S$



# Set operations: Symmetric



- A symmetric difference of the sets contains all the elements in either set but NOT both

- Symmetric diff. symbol is a  $\oplus$ 
  - Example:  
 $C = M \oplus P$



# Set operations: Symmetric Difference 2

- Formal definition for the symmetric difference of two sets:

$$A \oplus B = \{ x \mid (x \in A \text{ or } x \in B) \text{ and } x \notin A \cap B \}$$

$$A \oplus B = (A \cup B) - (A \cap B) \quad \leftarrow \text{Important!}$$

- Further examples

- $\{1, 2, 3\} \oplus \{3, 4, 5\} = \{1, 2, 4, 5\}$

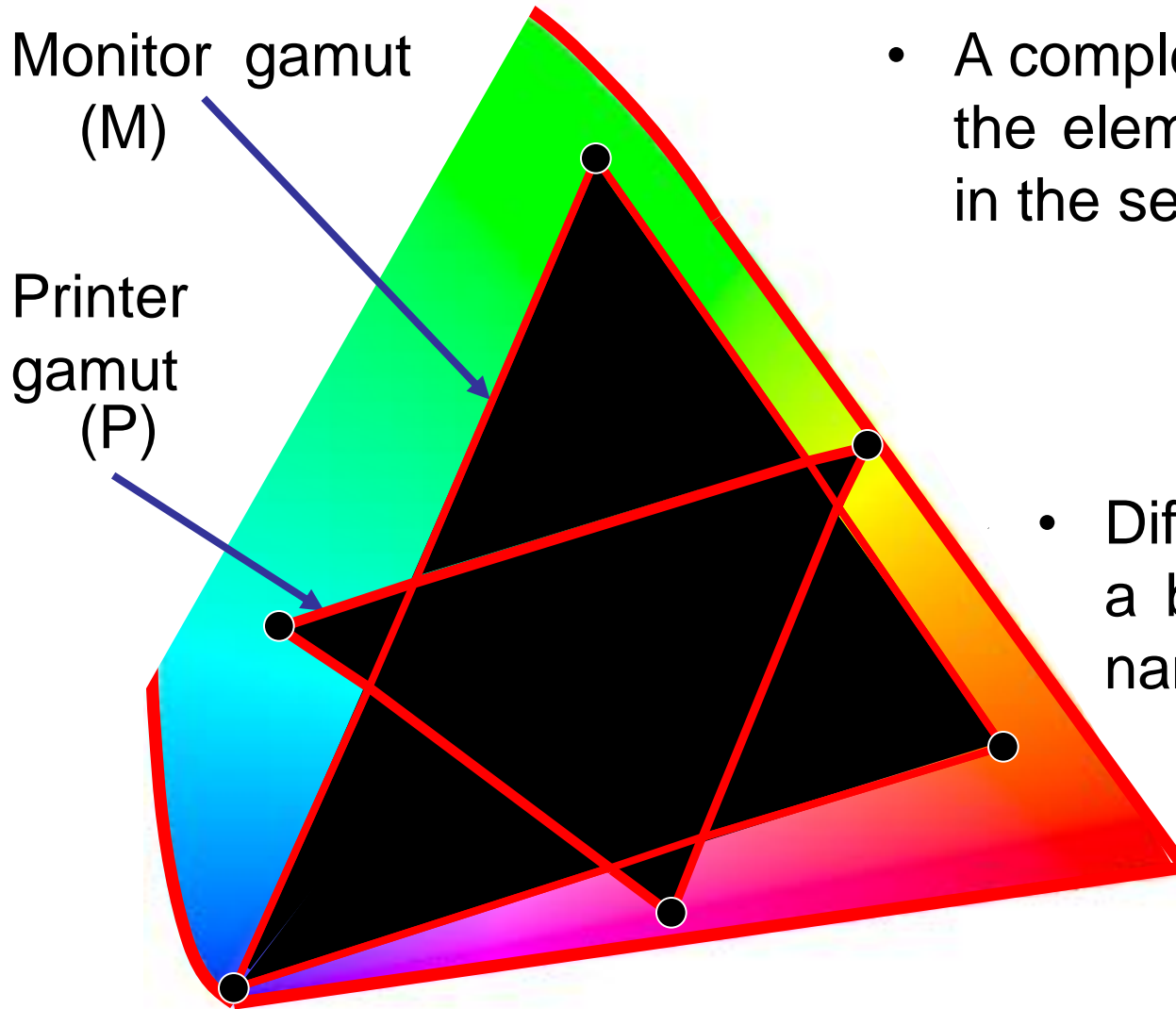
- $\{\text{New York, Washington}\} \oplus \{3, 4\} = \{\text{New York, Washington, 3, 4}\}$

- $\{1, 2\} \oplus \emptyset = \{1, 2\}$

- The symmetric difference of any set S with the empty set will be the set S



# 1



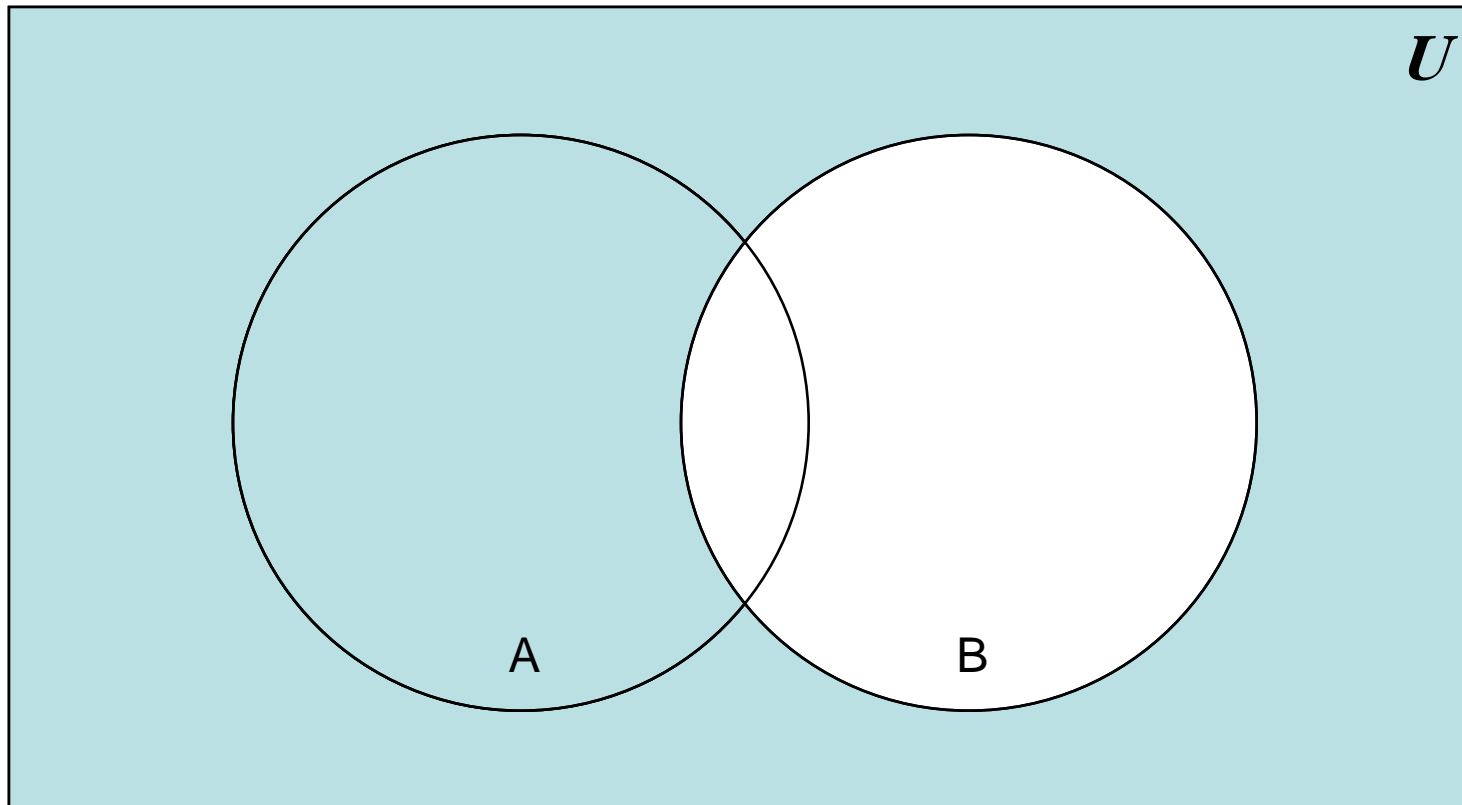
- A complement of a set is all the elements that are NOT in the set

- Difference symbol is a bar above the set name:  $\bar{P}$  or  $\bar{M}$



# Complement sets 2

$\bar{B}$





# Complement sets 3

- Formal definition for the complement of a set:  $\bar{A} = \{ x \mid x \notin A \}$ 
  - Or  $U - A$ , where  $U$  is the universal set
- Further examples (assuming  $U = \mathbf{Z}$ )
  - $\overline{\{1, 2, 3\}} = \{ \dots, -2, -1, 0, 4, 5, 6, \dots \}$



# Complement sets 4

- Properties of complement sets

$$- \overline{\overline{A}} = A$$

Complementation law

$$- A \cup \overline{A} = U$$

Complement law

$$- A \cap \overline{A} = \emptyset$$

Complement law



# Set identities

- Set identities are basic laws on how set operations work
  - Many have already been introduced on previous slides
- Just like logical equivalences!
  - Replace  $U$  with  $\vee$
  - Replace  $\cap$  with  $\wedge$
  - Replace  $\emptyset$  with  $F$
  - Replace  $U$  with  $T$



# Set identities: DeMorgan again

- These should look very familiar...

$$\overline{A \cap B} = \bar{A} \cup \bar{B}$$

$$\overline{A \cup B} = \bar{A} \cap \bar{B}$$





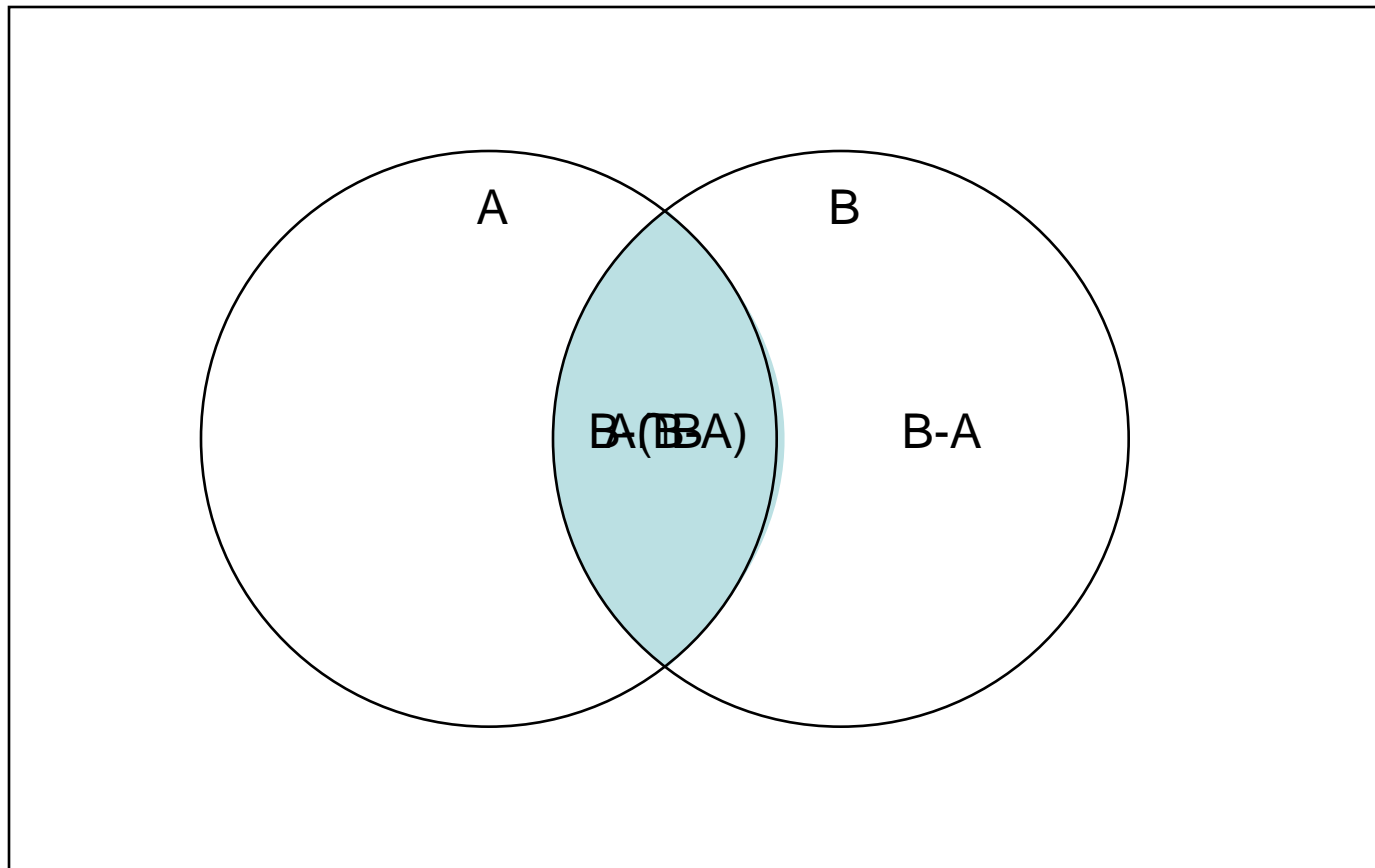
# How to prove a set identity

- For example:  $A \cap B = B - (B - A)$
- Four methods:
  - Use the basic set identities
  - Use membership tables
  - Prove each set is a subset of each other
    - This is like proving that two numbers are equal by showing that each is less than or equal to the other
  - Use set builder notation and logical equivalences



# What we are going to prove...

$$A \cap B = B - (B - A)$$





# Proof by using basic set identities

- Prove that  $A \cap B = B - (B - A)$

$$A \cap B = B - (B \cap \bar{A})$$

$$= B \cap \overline{(B \cap \bar{A})}$$

$$= B \cap (\bar{B} \cup \bar{\bar{A}})$$

$$= B \cap (\bar{B} \cup A)$$

$$= (B \cap \bar{B}) \cup (B \cap A)$$

$$= \emptyset \cup (B \cap A)$$

$$= (B \cap A)$$

$$= A \cap B$$

Definition of difference

Definition of difference

DeMorgan's law

Complementation law

Distributive law

Complement law

Identity law

Commutative law





# What is a membership table

- Membership tables show all the combinations of sets an element can belong to
  - 1 means the element belongs, 0 means it does not
- Consider the following membership table:

A	B	$A \cup B$	$A \cap B$	$A - B$

- The truth table will be filled with 1's and 0's. If an element belongs to both sets A and B, then  $A \cup B = 1$ ,  $A \cap B = 1$ , and  $A - B = 0$ . If an element belongs to set A but not set B, then  $A \cup B = 1$ ,  $A \cap B = 0$ , and  $A - B = 1$ . If an element belongs to set B but not set A, then  $A \cup B = 1$ ,  $A \cap B = 0$ , and  $A - B = 0$ . If an element belongs to neither set A nor set B, then  $A \cup B = 0$ ,  $A \cap B = 0$ , and  $A - B = 0$ . Thus, these elements are in the union, and in the intersection, and in the difference.



# Proof by membership tables

- The following membership table shows that  $A \cap B = B - (B - A)$

A	B		B-A	
1	1		0	
1	0		0	
0	1		1	
0	0		0	

- Because the two indicated columns have the same values, the two expressions are identical
- This is similar to Boolean logic!



# Proof by showing each set is a subset of the other 1

- Assume that an element is a member of one of the identities
  - Then show it is a member of the other
- Repeat for the other identity
- We are trying to show:
  - $(x \in A \cap B \rightarrow x \in B - (B - A)) \wedge (x \in B - (B - A) \rightarrow x \in A \cap B)$
  - This is the biconditional:
  - $x \in A \cap B \leftrightarrow x \in B - (B - A)$
- Not good for long proofs
- Basically, it's an English run-through of the proof



# Proof by showing each set is a subset of the other 2

- Assume that  $x \in B - (B - A)$ 
  - By definition of difference, we know that  $x \in B$  and  $x \notin B - A$
- Consider  $x \notin B - A$ 
  - If  $x \in B - A$ , then (by definition of difference)  $x \in B$  and  $x \notin A$
  - Since  $x \notin B - A$ , then only one of the inverses has to be true (DeMorgan's law):  $x \notin B$  or  $x \in A$
- So we have that  $x \in B$  and  $(x \notin B \text{ or } x \in A)$ 
  - It cannot be the case where  $x \in B$  and  $x \notin B$
  - Thus,  $x \in B$  and  $x \in A$
  - This is the definition of intersection
- Thus, if  $x \in B - (B - A)$  then  $x \in A \cap B$



# Proof by showing each set is a subset of the other 3

- Assume that  $x \in A \cap B$ 
  - By definition of intersection,  $x \in A$  and  $x \in B$
- Thus, we know that  $x \notin B - A$ 
  - $B - A$  includes all the elements in  $B$  that are also not in  $A$  not include any of the elements of  $A$  (by definition of difference)
- Consider  $B - (B - A)$ 
  - We know that  $x \notin B - A$
  - We also know that if  $x \in A \cap B$  then  $x \in B$  (by definition of intersection)
  - Thus, if  $x \in B$  and  $x \notin B - A$ , we can restate that (using the definition of difference) as  $x \in B - (B - A)$
- Thus, if  $x \in A \cap B$  then  $x \in B - (B - A)$



# Proof by set builder notation and logical equivalences 1

- First, translate both sides of the set identity into set builder notation
- Then massage one side (or both) to make it identical to the other
  - Do this using logical equivalences



# Proof by set builder notation and logical equivalences 2

$$B - (B - A)$$

$$= \{x \mid x \in B \wedge x \notin (B - A)\}$$

$$= \{x \mid x \in B \wedge \neg(x \in (B - A))\}$$

$$= \{x \mid x \in B \wedge \neg(x \in B \wedge x \notin A)\}$$

$$= \{x \mid x \in B \wedge (x \notin B \vee x \in A)\}$$

$$= \{x \mid (x \in B \wedge x \notin B) \vee (x \in B \wedge x \in A)\}$$

$$= \{x \mid (x \in B \wedge \neg(x \in B)) \vee (x \in B \wedge x \in A)\}$$

$$= \{x \mid F \vee (x \in B \wedge x \in A)\}$$

$$= \{x \mid x \in B \wedge x \in A\}$$

$$= A \cap B$$

Original statement

Definition of difference

Negating “element of”

Definition of difference

DeMorgan’s Law

Distributive Law

Negating “element of”

Negation Law

Identity Law

Definition of intersection



# Proof by set builder notation and logical equivalences 3

- Why can't you prove it the "other" way?
  - I.e. massage  $A \cap B$  to make it look like  $B - (B - A)$
- You can, but it's a bit annoying
  - In this case, it's not simplifying the statement





# Computer representation of sets 1

- Assume that  $U$  is finite (and reasonable!)
  - Let  $U$  be the alphabet
- Each bit represents whether the element in  $U$  is in the set
- The vowels in the alphabet:  
abcdefghijklmnopqrstuvwxyz  
10001000100000100000100000
- The consonants in the alphabet:  
abcdefghijklmnopqrstuvwxyz  
01110111011111011111011111



# Computer representation of sets 2

- Consider the union of these two sets:

```
10001000100000100000100000
√01110111011111011111011111
11111111111111111111111111
```

- Consider the intersection of these two sets:

```
10001000100000100000100000
^01110111011111011111011111
00000000000000000000000000
```



# Subset problems

- Let  $A$ ,  $B$ , and  $C$  be sets. Show that:
  - a)  $(A \cup B) \subseteq (A \cup B \cup C)$
  - b)  $(A \cap B \cap C) \subseteq (A \cap B)$
  - c)  $(A - B) - C \subseteq A - C$
  - d)  $(A - C) \cap (C - B) = \emptyset$



# Russell's paradox

- Consider the set:
  - $S = \{ A \mid A \text{ is a set and } A \notin A \}$
- Is  $S$  an element of itself?
- Consider:
  - Let  $S \in S$ 
    - Then  $S$  can not be in itself, by the definition
  - Let  $S \notin S$ 
    - Then  $S$  is in itself by the definition
  - Contradiction!



# Russell's paradox

- Consider the set:
  - $S = \{ A \mid A \text{ is a set and } A \notin A \}$
- This shows a problem with set theory!
  - Meaning we can define a set that is not viable
- The solution:
  - Restrict set theory to not include sets which are subsets of themselves



# The Halting problem

- Given a program  $P$ , and input  $I$ , will the program  $P$  ever terminate?
  - Meaning will  $P(I)$  loop forever or halt?
- Can a computer program determine this?
  - Can a human?
- First shown by Alan Turing in 1936
  - Before digital computers existed!



# A few notes

- To “solve” the halting problem means we create a function  $\text{CheckHalt}(P,I)$ 
  - $P$  is the program we are checking for halting
  - $I$  is the input to that program
- And it will return “loops forever” or “halts”
- Note it must work for *any* program, not just some programs
  - Or simple programs



# Can a human determine if a program halts?

- Given a program of 10 lines or less, can a human determine if it halts?
  - Assuming no tricks – the program is completely understandable
  - And assuming the computer works properly, of course
- And we ignore the fact that an int will max out at 4 billion





# Halting problem tests

```
function haltingTest1()  
  print "Alan Turing"  
  print "was a genius"  
  return
```

```
function haltingTest2()  
  for factor from 1 to 10  
    print factor  
  return
```

```
function haltingTest3()  
  while ( true )  
    print "hello world"  
  return
```

```
function haltingTest4()  
  int x = 10  
  while ( x > 0 )  
    print "hello world"  
    x := x + 1  
  return
```



# Perfect numbers

- Numbers whose divisors (not including the number) add up to the number
  - $6 = 1 + 2 + 3$
  - $28 = 1 + 2 + 4 + 7 + 14$
- The list of the first 10 perfect numbers:  
6, 28, 496, 8128, 33550336, 8589869056,  
137438691328, 2305843008139952128,  
2658455991569831744654692615953842176,  
191561942608236107294793378084303638130997321  
548169216
  - The last one was 54 digits!
- All known perfect numbers are even; it's an open (i.e. unsolved) problem if odd perfect numbers exist
- [Sequence A000396 in OEIS](#)



# Odd perfect number search

```
function searchForOddPerfectNumber()
  int n = 1 // arbitrary-precision integer
  while (true) {
    var int sumOfFactors = 0
    for factor from 1 to n - 1
      if factor is a factor of n
        sumOfFactors = sumOfFactors + factor
    if sumOfFactors = n then
      break
    n = n + 2
  }
  return
```

- Will this program ever halt?



# Where does that leave us?

- If a human can't figure out how to do the halting problem, we can't make a computer do it for us
- It turns out that it is impossible to write such a `CheckHalt()` function
  - But how to prove this?



# CheckHalt()'s non-existence

- Consider  $P(I)$ : a program  $P$  with input  $I$
- Suppose that  $\text{CheckHalt}(P, I)$  exists
  - Tests if  $P(I)$  will either “loop forever” or “halt”
- A program is a series of bits
  - And thus can be considered data as well
- Thus, we can call  $\text{CheckHalt}(P, P)$ 
  - It's using the bytes of program  $P$  as the input to program  $P$



# CheckHalt()'s non-existence

- Consider a new function:  
Test(P):
  - loops forever if CheckHalt(P,P) prints “halts”
  - halts if CheckHalt(P,P) prints “loops forever”
- Do we agree that Test() is a valid function?
- Now run Test(Test)
  - If Test(Test) halts...
    - Then CheckHalt(Test,Test) returns “loops forever”...
    - Which means that Test(Test) loops forever
    - Contradiction!
  - If Test(Test) loops forever...
    - Then CheckHalt(Test,Test) returns “halts”...
    - Which means that Test(Test) halts
    - Contradiction!



# Why do we care about the halting problem?

- It was the first algorithm that was shown to not be able to exist
  - You can prove an existential by showing an example (a correct program)
  - But it's much harder to prove that a program can *never* exist